



HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT AND CORRESPONDENCE ADDRESS

Application Number	10/750,173
Filing Date	December 31, 2003
First Named Inventor	Edward B. Zalenski
Confirmation Number	
Group Art Unit	
Examiner Name	
Attorney Docket Number	3518.1020-000

Title

Insertor Instrument and Implant Clip

I/We hereby appoint

☒ the attorneys/agents associated with Customer No. 021005

☐ Practitioner(s) named below:

as my/our attorneys/agents to prosecute the application identified above, including any continuation or divisional applications thereof, and to transact all business in the United States Patent and Trademark Office connected therewith.

The correspondence address for the above-identified application is:

☒ Customer Number 021005

Hamilton, Brook, Smith & Reynolds, P.C.

530 Virginia Road

P.O. Box 9133

Concord, Massachusetts 01742-9133

☐ Other

Please direct all telephone calls and facsimiles to:

Name N. Scott Pierce Tel. No. 978-341-0036 Fax No. 978-341-0136

I am the:

☐ Applicant/Inventor.

☒ Authorized representative of the Assignee, DePuy Spine, Inc., of the entire interest. See 37 C.F.R. § 3.71. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

☐ Authorized representative of the Assignee, [], together with [], of the entire interest. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

SIGNATURE of Applicant or Assignee of Record

Name & Title

Thomas M. DiMauro

Signature

Thomas M. DiMauro

Date

5-25-04



Docket No. 3518.1020-000

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Inventors: Edward B. Zalenski, Dale W. Frank, Michael D. Sorrenti and Alexander Grinberg

Application No.: 10/750,173 Filed Date: December 31, 2003

For: Insertor Instrument and Implant Clip

DePuy Spine, Inc., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☐ an assignee together with ☐ of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: May 25, 2004

Name: Thomas M. DiMauro

Title: Assistant Secretary

Signature: Thomas M. DiMauro

ASSIGNMENT

Joint
COPY

WHEREAS, we, **Edward B. Zalenski, Dale W. Frank, Michael D. Sorrenti and Alexander Grinberg**, have invented a certain improvement in **Insertor Instrument and Implant Clip** described in an application for Patent,

- ☐ the specification of which is being executed on even date herewith and is about to be filed in the United States Patent Office (*use for 37 CFR §1.53(b) filings only*);
- ☐ is about to be filed in the United States Patent Office as a Provisional Application;
- ☒ the specification of which is United States Application No. **10/750,173**;
- ☐ the specification of which is a Patent Cooperation Treaty Application, International Application No. [], which designates the United States of America;
- ☐ which was patented under United States Patent No. [].

WHEREAS, **DePuy Spine, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Ohio**, and having a usual place of business at **325 Paramount Drive, Raynham, Massachusetts 02767** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the

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execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____

Edward B. Zalenski

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Edward B. Zalenski** and acknowledged that he executed the foregoing instrument as his free act and deed this

26th day of January, 2004.

(SEAL)

Patricia A. Hubler Notary Public

Patricia A. Hubler (print name)

My Commission expires 08/09/2007

Inventor's Signature: _____

Dale W. Frank

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Dale W. Frank** and acknowledged that he executed the foregoing instrument as his free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Michael D. Sorrenti

State/Commonwealth

of Massachusetts

County of Bristol

Then personally appeared before me the above-named **Michael D. Sorrenti** and acknowledged that he executed the foregoing instrument as his free act and deed this 26th day of January, 2008.

(SEAL)

Notary Public

(print name)

My Commission expires 08/09/2007

Inventor's Signature: *A. Grinberg*
Alexander Grinberg

State/Commonwealth
of *Massachusetts*
County of *Bristol*

Then personally appeared before me the above-named **Alexander Grinberg** and
acknowledged that he executed the foregoing instrument as his free act and deed this

27 day of *January*, 20 *04*.

(SEAL)

Patricia A. Hubler Notary Public

Patricia A. Hubler (print name)

My Commission expires *08 / 09 / 2007*

Joint

ASSIGNMENT

WHEREAS, we, **Edward B. Zalenski, Dale W. Frank, Michael D. Sorrenti and Alexander Grinberg**, have invented a certain improvement in **Insertor Instrument and Implant Clip** described in an application for Patent,

- ☐ the specification of which is being executed on even date herewith and is about to be filed in the United States Patent Office (*use for utility (37 CFR § 1.53(b)) and design filings only*);
- ☐ is about to be filed in the United States Patent Office as a Provisional Application;
- ☒ the specification of which is United States Application No. **10/750,173**, filed **Decmeber 31, 2003**;
- ☐ the specification of which is a Patent Cooperation Treaty Application, International Application No. [], which designates the United States of America;
- ☐ which was patented under United States Patent No. [].

WHEREAS, **DePuy Spine, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Ohio**, and having a usual place of business at **325 Paramount Drive, Raynham, Massachusetts 02767-0350** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts

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which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____

Edward B. Zalenski

State/Commonwealth _____

of _____

County of _____

On this _____ day of _____, 20____, before me, the undersigned notary public, personally appeared **Edward B. Zalenski**,

☐ personally known to me, or

☐ proved to me through satisfactory evidence of identification, which was _____

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

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Inventor's Signature: Dale W. Frank
Dale W. Frank

State/Commonwealth
of Connecticut
County of Fairfield

On this 12th day of May, 2004, before me, the undersigned
notary public, personally appeared **Dale W. Frank**,

☒ personally known to me, or

☐ proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and
acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

Margaret Ficano Notary Public
Margaret Ficano (print name)
My Commission expires 7 / 31 / 05

Inventor's Signature: _____
Michael D. Sorrenti

State/Commonwealth
of _____
County of _____

On this _____ day of _____, 20____, before me, the undersigned
notary public, personally appeared **Michael D. Sorrenti**,

☐ personally known to me, or

☐ proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and
acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

My Commission expires ____ / ____ / ____

Inventor's Signature: _____

Alexander Grinberg

State/Commonwealth _____

of _____

County of _____

On this _____ day of _____, 20____, before me, the undersigned notary public, personally appeared **Alexander Grinberg**,

☐ personally known to me, or

☐ proved to me through satisfactory evidence of identification, which was _____

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____